

 This form uses JavaScript for optimal performance. Please ensure you have this enabled if completing electronically.

Account Details

Dealer Group name

Adviser name

AFSL number

Adviser code

Trading Exposure Increase Amount

Desired trading exposure limit (\$)

 For amounts equal or greater than \$1 million please attach a current copy of your 'Professional Indemnity Insurance' to this application.

Acknowledgement

I understand and acknowledge this request to increase the trading exposure limit as specified.

To be signed by an authorised person of the Dealer Group (e.g. Director, Risk Officer, Principal, CEO, CFO).

Signatory 1

Full name

Date – DD / MM / YYYY

 / /

Position

Signature – *Must be signed pen to paper*

Signatory 2

Full name

Date – DD / MM / YYYY

 / /

Position

Signature – *Must be signed pen to paper*

Share Trading is a service provided by Australian Investment Exchange Limited (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a Market Participant of the ASX Limited and Chi-X Australia Pty Ltd, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.


How to submit your documents

Once completed and signed, please scan and email the form to

 service@ausiex.com.au

 **AUSIEX**

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 1800 252 351

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